

**Docket No. CITI0096-US**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Joseph C. KAWAN

Serial No.: 09/271,206

Filed: March 17, 1999



Group Art Unit: 2876

Examiner: Not Assigned

For: APPARATUS AND SYSTEM FOR OPTICAL CARD READING AND METHOD  
OF USE

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application mailed April 16, 1999, for which a response is due June 16, 1999, the following items are submitted herewith to complete the formal filing requirements for the above-identified application:

- (1) An executed Declaration and Power of Attorney form;
- (2) A check in the amount of \$130.00 in payment of the surcharge set forth in 37 CFR 1.16(e); and
- (3) A courtesy copy of the original Notice to File Missing Parts of Application.

Also transmitted herewith are:

- (1) An Assignment Recordation transmittal;
- (2) A signed Assignment form;
- (3) A check in the amount of \$40.00 in payment of the assignment recordation fee;
- (4) A Request for Corrected Official Filing Receipt; and
- (5) Copy of Official Filing Receipt.

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same to the deposit account no. 11-0855. An additional copy of this response is enclosed for this purpose.

Respectfully submitted,

May 10, 1999  
Date

George T. Marcou  
George T. Marcou  
Registration No. 33,014

KILPATRICK STOCKTON LLP  
Suite 800  
700 13th Street, N.W.  
Washington, D.C. 20005  
(202) 508-5846

**PATENT**

Docket No. CITI0096-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Joseph C. KAWAN

Serial No. 09/271,206

Filed: March 17, 1999



Art Unit: 2876

Examiner: To Be Assigned

For: APPARATUS AND SYSTEM FOR OPTICAL CARD READING AND METHOD  
OF USE

Box Non Fee  
Assistant Commissioner of Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

It is respectfully requested that a corrected filing receipt be issued in connection with the above-identified application to correct an error in the Official Filing Receipt (copy attached). The title is presently recorded as "APPARATUS AND SYSTEM FOR OPTICAL CARD READING AND US," but should read -- APPARATUS AND SYSTEM FOR OPTICAL CARD READING AND USE--.

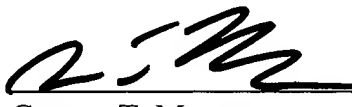
A corrected filing receipt is warranted to maintain an accurate record in the Office file of the above-identified application.

**Atty. Docket No.: CITI0096-US**  
**Serial Number: 09/271,206**

No fee is believed to be required since the incorrect filing receipt was due to an error by the Office. However, should any fee be involved, please charge Deposit Account No. 11-0855.

Respectfully submitted,

5/10/99  
Date

By:   
George T. Marcou  
Registration No. 33,014

Kilpatrick Stockton, LLP  
700 13th Street, N.W., Suite 800  
Washington, D.C. 20005  
(202) 508-5888

GTM/acd/T0091.176665/CITI0096-US/57435

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/271,206	03/17/99	2876	\$814.00	CIITI0096	5	23	3

GEORGE T MARCOU  
KILPATRICK STOCKTON  
700-13TH STREET  
SUITE 800  
WASHINGTON DC 20005



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOSEPH C. KAWAN, HOLLYWOOD, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

PROVISIONAL APPLICATION NO. 60/078,506 03/18/98

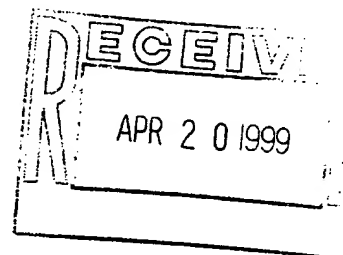
PROVISIONAL APPLICATION NO. 60/079,366 03/26/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/06/99

TITLE

APPARATUS AND SYSTEM FOR OPTICAL CARD READING AND METHOD OF US

PRELIMINARY CLASS: 235



DATA ENTRY BY: RORIE, DEANNA

TEAM: 03 DATE: 04/06/99

\*\*\*\*\*

(see reverse)



Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/27/2006	03/17/99	KAWAN	CIT110076

GEORGE T MARCOU  
KILPATRICK STOCKTON  
700-13TH STREET  
SUITE 800  
WASHINGTON DC 20005

0222/0416



2876

DATE MAILED:

04/16/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION *Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box-Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM 1533 (REV. 9/97)

05/12/1999 CUORCHA 0000035 09271206

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BEST AVAILABLE COPY